

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Steven C. Bailey, et al.  
Serial No.: 10/052,642  
Filing Date: January 18, 2002  
Title: Database System Facilitating Textual Searching

**Atten: Box Missing Parts**

Assistant Commissioner for

Patents

Washington, DC 20231

Dear Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION

This is in response to the Notice to File Missing Parts of Nonprovisional Application Under 37 CFR 1.53(b) – Filing Date Granted, mailed February 27, 2002. Applicants submit herewith:

- a) A copy of the Notice to File Missing Parts of Application – Filing Date Granted;
- b) Executed Declaration and Power of Attorney document for the above-referenced patent application, which was filed January 18, 2002.
- c) A check in the amount of \$130.00 is enclosed for the payment of the surcharge as a large entity;
- d) Transmittal of Formal Drawings to the attention of the Official Draftsman transmitting substitute formal drawings in compliance with 37 C.F.R. § 1.84 which correct the margins;
- e) An executed Assignment for the above-referenced patent application from the inventors to Caterpillar Inc. (along with a copy of the Declaration and Power of

Attorney). The Assignment Transmittal Form and filing fee in the amount of \$40.00 are included herewith;

f) An executed Assignment for the above-referenced patent application from Caterpillar Inc. to i2 Technologies US, Inc. The Assignment Transmittal Form and filing fee in the amount of \$40.00 are included herewith.

The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,  
Attorneys for Applicants



Christopher W. Kennerly  
Reg. No. 40,675

CWK/bt

Correspondence Address:

Baker Botts L.L.P.  
2001 Ross Avenue, 6th Floor  
Dallas, Texas 75201-2980  
(214) 953-6812

Date: 3/15/02

Attached:

Notice to File Missing Parts of Nonprovisional Application (Copy)  
Declaration and Power of Attorney  
Check for \$130.00  
Transmittal of Substituted Formal Drawings and 49 sheets of drawings  
Assignment Recordation Form (assigning rights from inventors to Caterpillar Inc.)  
Assignment  
Check for \$40.00  
Assignment Recordation Form (assigning rights from Caterpillar Inc. to i2 Technologies US, Inc.)  
Assignment  
Check for \$40.00



In re Application of: Steven C. Bailey, et al.  
Serial No.: 10/052,642  
Filed: January 18, 2001  
Title: Database System Facilitating Textual Searching

ATTENTION: OFFICIAL DRAFTSMAN

Box: Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

**TRANSMITTAL OF SUBSTITUTE FORMAL DRAWINGS**

Pursuant to the Notice to File Missing Parts of Nonprovisional Application mailed February 27, 2002, and pursuant to 37 C.F.R. § 1.84, transmitted herewith for filing in the above-identified patent application are 49 sheets of substituted formal drawings correcting the margins.

The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

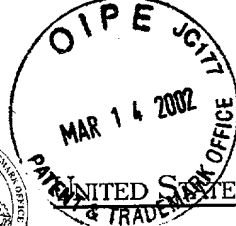
Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants

Christopher W. Kennerly  
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Date 3/14/02



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/052,642	01/18/2002	Steven C. Bailey	020431.0834

CONFIRMATION NO. 5633

05073  
BAKER BOTTS L.L.P.  
2001 ROSS AVENUE  
SUITE 600  
DALLAS, TX 75201-2980

FORMALITIES LETTER



\*OC00000007544410\*

Date Mailed: 02/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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A copy of this notice **MUST** be returned with the reply.

*MDW*

Customer Service Center

2007 FEB 24 09:00

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2017.03.24 10:34:02